



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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10-22-02  
#4  
Electron

Applicants: Pasqualoni et al.

Serial No.: 09/845,549

For: A CHEMICAL MECHANICAL POLISHING SLURRY  
COMPOSITION FOR POLISHING CONDUCTIVE AND NON-  
CONDUCTIVE LAYERS ON SEMICONDUCTOR WAFERS

Filing Date: April 30, 2001

Examiner: D. Nguyen

Art Unit: 3723

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OCT 17 2002

TECHNOLOGY CENTER R3700

Attorney Docket No.: 341.6910USU

Box Non-Fee Amendment  
Commissioner of Patents  
Washington, D.C. 20231

RESPONSE TO RESTRICTION REQUIREMENT UNDER 35 U.S.C. 121

Dear Sir:

This is a response to the Office Action mailed on September 12, 2002 for the  
above-identified application.

REMARKS

Claims 1-31 are currently pending in the application. The Office Action has  
stated that a restriction to either Group I (claims 1-29) or Group II (claims 30-31) is  
required. Applicants respectfully traverse because each of the Groups as set forth in the  
Office Action have the common invention set forth in claims 1-29, namely a slurry  
composition for CMP polishing having a dispersion with an abrasive and an oxidizer.



Notwithstanding the foregoing, to comply fully with the restriction requirement, applicants elect with traverse to prosecute the invention of Group I, which includes claims 1-29, drawn to a slurry composition.

October 9, 2002

Respectfully submitted,

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Applicant(s): Pasqualoni et al.

Serial No.: 09/845,549

For: A CHEMICAL MECHANICAL POLISHING SLURRY  
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TECHNOLOGY CENTER R3700

**BOX NON-FEE AMENDMENT  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Dear Sir:

**RESPONSE TO RESTRICTION REQUIREMENT  
TRANSMITTAL FORM**

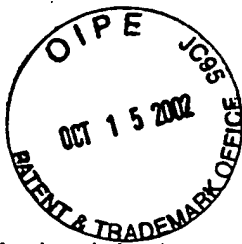
Transmitted herewith is a Response to a Restriction Requirement in the above-identified application.

Petition for extension of time pursuant to 37 C.F.R. §§ 1.136 and 1.137 is hereby made if, and to the extent, required. The fee for this extension of time is calculated to be \$ \_\_\_\_\_ to extend the time for filing this response until \_\_\_\_\_.

The fee for any change in number of claims has been calculated as shown below.

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest Number Previously Paid	Present Extra	Rate	
Total Claims	29	Minus	31	0	x \$18.00	\$
Independent Claims	3	Minus	4	0	x \$84.00	\$
MULTIPLE DEPENDENT CLAIM FEE				x \$280.00 = \$		
TOTAL FEE FOR CLAIM CHANGES				\$0.00		

The total fee for this amendment, including claim changes and any extension of time is calculated to be \$ 0.00.



\_\_\_\_\_ A check in the amount of \$ 0.00 is attached.

X The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

October 10, 2002

Date

Paul D. Greeley, Esq.

Attorney for Applicant(s)

Registration No. 31,019

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**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX NON-FEE AMENDMENT, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON OCTOBER 10, 2002.

VICTORIA E. ROESER

NAME

SIGNATURE

OCTOBER 10, 2002

DATE